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| on | May 22, 2002 |
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Re: Applicant(s): BALKUS, et al.
Serial No. 10/079,443
Filing Date: February 19, 2002
For: MESOPOROUS COMPOSITIONS FOR USE IN DRUG DELIVERY
Docket No.: 46847-00007USPT

Dear Sir:

Transmitted for filing with the Patent and Trademark Office are the following documents for the above-referenced patent application:

1. Information Disclosure Statement
2. Form PTO-1449
3. References A1 and C1-C2
4. Postcard acknowledgment

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Please address all communications related to this to:

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In the event there is an under or over payment, please debit or credit our Deposit Account #10-0447. This letter is being filed in duplicate to facilitate processing.

Respectfully submitted,

Lekha Gopalakrishnan



Docket No. 46847-00007USPT
UTD Ref. No. 01-007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): BALKUS, et al.

) Group Art Unit: Not Yet Assigned

Serial No.: 10/079,443

) Examiner: Not Yet Assigned

Filed: February 19, 2002

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For: MESOPOROUS COMPOSITIONS FOR USE IN DRUG DELIVERY

To the Assistant Commissioner
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| Signature | Rosemary Bell |

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with Applicant's duty under 37 C.F.R. § 1.56 and 1.97, Applicant hereby submits the attached form PTO-1449 (modified) which lists art cited. The art listed therein, while of some relevance, is not necessarily considered to teach or suggest any aspect of the invention described and claimed in the above-identified patent application. This statement is also not to be construed as a representation that a search has, or has not, been conducted or that no better art exists. Rather, this statement discloses only the best art of which the Applicant is aware.

In considering the art set forth below, it may be noted by the Examiner that certain of the references may contain markings, underlinings or other notations. These markings or notations are not to be construed as drawing the Examiner's attention either to selected parts or away from other parts of the references. Any such markings were either present on the copies of the references

obtained by Applicant, or were made thereon during the study of the references by the Applicant and/or his attorneys.

The Examiner is respectfully requested to consider each of the cited references, indicate such consideration by initialing each reference on the enclosed Form PTO-1449 (modified) and return a copy of the same with the next communication to the Applicant. For the convenience of the Examiner in considering the references, copies of the cited references are enclosed with this communication.

Respectfully submitted,



Lekha Gopalakrishnan
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| Form PTO-1449 Modified List of Patents and Publications Cited by Applicant (Use several sheets if necessary) U.S. Patent Department of Commerce Patent and Trademark Office | Docket No.: 46847-00007USPT | Serial No.: 10/079,443 |
| | Applicants: BALKUS, et al. | |
| | Filing Date: February 19, 2002 | Group: |

U.S. PATENT DOCUMENTS

| Examiner Initial | | Document No. | Date | Name | Class | Subclass |
|------------------|-----|--------------|---------------|---------------|-------|----------|
| | A-1 | 5,234,695 | Aug. 10, 1993 | Kresge et al. | 423 | 328 |
| | A-2 | | | | | |
| | A-3 | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial | | Document No. | Date | Country | Translation | |
|------------------|-----|--------------|------|---------|-------------|----|
| | | | | | Yes | No |
| | B-1 | | | | | |

OTHER DOCUMENTS

| Examiner Initials | | Author, Title, Date, Pertinent Pages, Etc. |
|-------------------|-----|---|
| | C-1 | Beck et. al., <i>J. Am Chem. Soc.</i> , 114, 10834-10843 (1992) |
| | C-2 | Zhao et. al., <i>SCIENCE</i> , Vol. 279, 548-552 (January 1998) |

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| Date Examined: | Examiner: |
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